

National Stock Exchange Of India Limited**Department : INVESTIGATION**

Download Ref No.: NSE/INVG/45643

Date: September 08, 2020

Circular Ref No.: 146/2020

To All NSE Members

Sub: SEBI Order in the Matter of Global Securities Ltd.

SEBI vide its Order No.WTM/AB/IVD/ID1/8987/2020-21 dated September 08, 2020 has issued following directions:

M/s. Global Securities Ltd. (PAN:AACCG3465C) and Mr. Devesh Upadhyay (PAN:AAHPU5069Q) are hereby restrained from accessing the securities market in any manner whatsoever and are further prohibited from buying, selling or otherwise dealing in securities, directly or indirectly, or being associated with the securities market in any manner, whatsoever, for a period of one (1) year from the date of coming into force of this order .

Further, the below mentioned entities are hereby restrained from accessing the securities market in any manner whatsoever and are further prohibited from buying, selling or otherwise dealing in securities, directly or indirectly, or being associated with the securities market in any manner, whatsoever, for a period of six (6) months from the date of coming into force of this order:-

Sr. No.	Name	PAN
1	Mr. Arvind Goyal Babulal	ACIPG0193J
2	Mr. Mahadev Zilu Gawade	ALBPG9116H
3	Mr. Sagar Kadam	CLAPK2809N
4	Mr. Sorab Wadia	ABOPW5107F
5	Mr. Pendyala Vinay Bhushan	BXMPP1272K
6	Ms. Falguniben Mahavirbhai Gohil	ABWPG2137P
7	M/s. Jesse Trading Pvt Ltd	AACCJ3651K
8	Mr. Abhay Dattatray Javlekar	ACVPJ4679B
9	Mr. Jay Mahavir Gohil	ASOPG2675D

Further, Mr. Pankaj Jayantilal Dave (PAN:AEPPD0118P) and Mr. Dharmendra Harilal Bhojak(PAN:AFAPB7100D) are hereby restrained from accessing the securities market in any manner whatsoever and are further prohibited from buying, selling or otherwise dealing in securities, directly or indirectly, or being associated with the securities market in any manner, whatsoever, for a period of three (3) months from the date of coming into force of this order.

Further, proceedings against Mr. Kumar Raichand Madan (PAN: AAHPM0952C) are disposed of as abated.

Further , proceedings against below mentioned entities stands disposed off.

Sr. No.	Name	PAN
1	Mr. Rameshchandra Bhaurao Chavan	ABKPC8958J
2	Mr. Dinkar Bhanuprasad Shrimali	BJEPS1523E
3	Mr. Ruchir Jayantilal Pandya	ASYPP9350L
4	Mr. Amit Pradipkumar Shah	AOCPS1635M
5	Mr. Umesh Kashinath Gawand	AJSPG3604M
6	Mr. Satish R Mandora	ACWPM3495P
7	Mr. Kamlesh Kanaiyalal Joshi	ADQPJ4015R
8	Mr. Shirish Chandrakanth Shah	ACFPS7005L
9	Mr. Vinod Brijmohan Biyani	AACPB7721R

This Order comes into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Home-Regulation-Members-Action against Members-Regulatory Actions”.

In case of any further queries, members are requested to contact the following officials:

Mr.Pratik Maheshwari (Extn: 22378), Mr. Chirag Jain (Extn: 22480)

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Direct No: 022-26598417/18 Fax: 022-26598195

**For and on behalf of
National Stock Exchange of India Limited**

**Binoy Yohannan
Chief Manager**

